EXPRESS MAIL NO. EV170132139US

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE quired to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

Under the Paperwork Reduction Act of 1995, no person		nformation unless it displays a valid OMB control number.	
TRANSMITTAL	Application Number	09/632,388	
FORM	Filing Date	August 3, 2000	
	First Named Inventor	Shin Hwa Li	
To be used for all correspondence	Group Art Unit	2823	
after initial filing)	Examiner Name	Julio J. Maldonado	
	Attorney Docket No.	98-P-009C2 (850063.529C1)	

ENCLOSURES (check all that apply)								
	Fee Transmittal Form Fee Attached Amendment/Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement; Form PTO-1449 Cited References Certified Copy of Priority Document(s) Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 Response to Missing Parts/Incomplete Application				Assignment Papers (for an Application) Drawing(s) Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Small Entity Statement Request for Refund		CD(s), Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below):	
Kei	marks]
		SIGNA	TURE	E OI	F APPLICANT, ATTORNEY,	OR A	AGENT]
Indi	ividual Name	Dale R. 0					30423 PATENT TRADEMARK OFFICE	REC
Signature M								
Date March 3, 2003				2003 VTER	ED)			
CERTIFICATE OF MAILING							1	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.								
Тур	ed or printed n	ame						
Signature					Dat	e:		
								-

FEE	ETR	AN	SMI	TT	AL
	for	FY	200	3	

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 494.00

Complete if Known				
Application Number	09/632,388			
Filing Date	August 3, 2000			
First Named Inventor	Shin Hwa Li			
Examiner Name	Julio J. Maldonado			
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Attorney Docket No.	98-P-009C2 (850063.529C1)			

METHOD OF PAYMENT						
Payment Enclosed: X Check Credit card Money Order Other X Deposit Account:						
Deposit Account Number	Account 19-1090					
Deposit Account Name	Account Seed Intellectual Property Caw Stoup					
The Commissioner is authorized to (check all that apply) Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee Charge any deficiencies						
to the above-identific	ed deposit a	ccount				
	FE	E CAL	CULATION			
		LUAL	OULK HOM			
1. BASIC FILING	FEE					
Large Entity S	mall Entity					
Fee Fee(\$)	Fee Fe	e(\$)	Fee Description	Fee		
	Code	-(-,		Paid		
1001 750	2001	375	Utility filing fee			
1002 330		165	•	—		
			Design filing fee	<u> </u>		
1003 520		260	Plant filing fee			
1004 750		375	Reissue filing fee			
1005 160	2005	80	Provisional filing			
			fee			
SUBTOTAL (1) (\$) 0						
2. EXTRA CLAIM FEES						
Extra from Fee Claims below Paid Total Claims 16 -20** = 0 * 18 = 0						
Independent 4	-3		1 * 8	4 = 84		
Multiple Dependent		,	•	=		
Large Entity	Small Ent	itv				
Fee Fee	Fee	Fee				
Code (\$)	Code	(\$)	Fee Description	n		
1202 18	2202	(*) 9	Claims in exces	e of 20		
		42				
1201 84	2201		•	nims in excess of 3		
1203 280	2203	140		ent claim, if not paid		
1204 84 2204 42 "Reissue independent claims over original patent						
1205 18	2205	9	** Reissue clair and over orig	ns in excess of 20 ninal patent		
SUBTOTAL (2) (\$) 84						
**or number previously paid, if greater; For Reissues, see above						

FEE CALCULATION (continued)						
3. ADDITIONAL FEES						
Large Entity Small						
Fee Code	Fee (\$)	Fee Code	Fe <i>e</i> (\$)	Fee Description	Fee Paid	
1051	130	2051	65	Surcharge - late filing fee or oath		
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
1053	130	1053	130	Non-English specification		
1812	2520	1812	2520	For filing a request for ex parte reexamination		
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action		
1251	110	2251	55	Extension for reply within first month		
1252	410	2252	205	Extension for reply within second month	410	
1253	930	2253	465	Extension for reply within third month		
1254	1450	2254	725	Extension for reply within fourth month		
1255	1970	2255	985	Extension for reply within fifth month		
1401	320	2401	160	Notice of Appeal		
1402	320	2402	160	Filing a brief in support of an appeal		
1403	280	2403	140	Request for oral hearing		
1451	1510	1451	1510	Petition to institute a public use proceeding		
1452	110	2452	55	Petition to revive – unavoidable		
1453	1300	2453	650	Petition to revive - unintentional		
1501	1300	2501	650	Utility issue fee (or reissue)		
1502	470	2502	235	Design issue fee		
1503	630	2503	315	Plant issue fee		
1460	130	1460	130	Petitions to the Commissione	Z	
1807	50	1807	50	Processing fee for provisional applications		
1806	180	1806	180	Submission of Information Discussure Stmt		
8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))		
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))		
1801	750	2801	375	Request for Continued Examination (RCE)		
1802	1802 900 1802 900 Request for expedited examination of a design application					
Other fee (specify)						
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 410						

	SUBMITTED BY		
Name (Print/Type)	Dale R. Cook	Registration No. Attorney/Agent) 42,434	
Firm Name/ Address	/		
Signature	Kle	Date March 3, 2003	30423 PATENT TRADEMARK OFFICE





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Shin Hwa Li et al.

Application No.

09/632,388

Filed

August 3, 2000

For

SEMICONDUCTOR STRUCTURE HAVING AN IMPROVED

PRE-METAL DIELECTRIC STACK AND METHOD FOR

FORMING THE SAME

Examiner

Julio J. Maldonado

Art Unit

2823

Docket No.

850063.529C1

Date

March 3, 2003

Commissioner for Patents Washington, DC 20231

<u>AMENDMENT</u>

Commissioner for Patents:

In response to the Office Action dated 3 October 2002, please extend the period of time for response 2 months, to expire on 3 March 2003. Enclosed are a Petition for an Extension of Time and the requisite fee. Please amend the application as follows:

In the Claims:

Please amend the claims as shown in the attached "Version With Markings to Show Changes Made." The following is meant to be substantively in accord with changes shown in the attached "Version With Markings to Show Changes Made."

For the Examiner's convenience, all of the claims currently pending are shown here in their entirety.

84,00 09

(Thrice Amended) A semiconductor structure, comprising:

a substrate;

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